

1 Mass Spectrometry in Bioprospecting of Plant Natural Products in the Amazon

2 Rainforest

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4 Abstract

5 The Amazon rainforest is a megadiverse region of cultural
6 complexity. Ethnic groups have been using an extensive plant
7 repository for several applications. Bioprospecting the potential
8 bioactive molecules requires a set of analytical techniques capable of
9 elucidating the chemical composition of complex samples.



Source: ³

10 Chromatography coupled with mass spectrometry is one of the most
11 accurate and robust strategies available for identifying and quantifying natural products. This brief
12 review outlines the most common mass spectrometry techniques and applications in plant
13 bioprospecting in the Amazon region during the last ten years.

14 **Keywords:** bioprospecting, natural products, mass spectrometry, LC-MS, GC-MS, Amazon.

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31 **1. Introduction**

32 The Amazon rainforest covers around 5 500 000 km² across nine South American countries,
33 mainly Brazil. The tropical rainforest is rich in biome, constituting the world's largest collection
34 of flora and fauna. This biome houses millions of insect species, thousands of plants, birds, and
35 mammals. Some approximations indicate that the Amazon host around 16 000 tree species,¹ and
36 that 0.25 km² of Ecuadorian rainforest contains more than 1 100 species of trees.²

37 The Amazon is also culturally complex. It includes thousands of indigenous territories and more
38 than 30 million people and 320 different ethnic groups.³ These communities have their own
39 traditional norms and knowledge that shapes the social organization and their interaction with the
40 environment. Over generations, they transferred traditional medicine that employs natural
41 resources to treat various health conditions.

42 The Amazon region has a proven application of natural products and ethnobotanical surveys play
43 a considerable role in bioprospecting new bioactive compounds. An ethnobotanical study for
44 collecting data on medicinal plants in the Valley of Juruena, Brazil, found 332 plant species of 90
45 families reported by interviewed people. Those plants were used for various gastrointestinal and
46 respiratory ailments⁴. In 2015, another ethno-directed study with the quilombola communities in
47 Oriximina, Brazil, examined the activity of 11 plants with in vitro cultures parasites for malaria.
48 The results showed the antiplasmodial potential of *Aspidosperma rigidum* and *Bertholletia excelsa*
49 ⁵.

50 Chromatography, mass spectrometry (MS), and nuclear magnetic resonance (NMR), are the most
51 common analytical tools for exploring natural products. Mass spectrometry is widely used in
52 diverse fields to identify and quantify the chemical composition of complex samples. Mass
53 spectrometry is a rapid, sensitive, and accurate method that measures the mass-to-charge ratio of
54 analytes. Fragmentation of molecules with tandem mass spectrometry can provide more relevant
55 information on the chemical structure. Along with mass spectrometry, chromatographic separation
56 can enhance selectivity. The retention time of the compounds gives an additional identity
57 indication.

58 This review aims to explore the current mass spectrometry techniques applied to the
59 bioprospection of natural products from plants in the Amazon region.

60 **2. Methodology**

61 In this non-systematic review, most of the articles were obtained from the ScienceDirect database
62 with the query “((bioprospecting OR bioprospection) AND (amazon) AND (mass AND
63 spectrometry OR ms OR hrms))”. The publication period was 2012-2022. Additionally, searches
64 from Scopus, Google Scholar, Web of Science, and specialized journals were included. The
65 articles are mainly in English. Other languages, such as Portuguese and Spanish, could be
66 considered to explore other databases in a further systematic study.

67 **3. MS techniques**

68 The most common analytical technique was gas chromatography (GC) coupled to single
69 quadrupole mass analyzer, followed by other liquid chromatography (LC) coupled to Orbitrap,
70 time-of-flight, and triple quadrupole analyzers. Nuclear magnetic resonance (NMR) was also used
71 in some studies for identity confirmation.

72 The predominance of GC-MS single quadrupole over other techniques could be explained by the
73 scope of applications and its popularity. The scope of many studies was on investigating small and
74 volatile molecules, while the heavier and thermo-labile ones were not considering for which other
75 techniques are usually necessary. The GC-MS single quadrupole instrument is a standard
76 instrument in many analytical laboratories and used in routine analysis.

77 Besides, it is worth mentioning that the findings are limited to the articles in this review and the
78 way in how the articles were collected, mainly via the search query described in the methodology.

79 **GC-MS**

80 Gas chromatography-mass spectrometry (GC-MS) has been extensively used in plant
81 bioprospecting of essential oils and volatile compounds. GC-MS was the most common technique
82 found in this review, and it could be due to the specific application scope to volatile analytes and
83 that it is commonly available. For the analysis, samples are usually taken from aerial parts, for
84 instance, leaves and small stems. Some of the analytes were small molecules such as essential oils,
85 terpenoids, and alkaloids. For certain plant species, these compounds and the chemical
86 composition were reported for the first time.

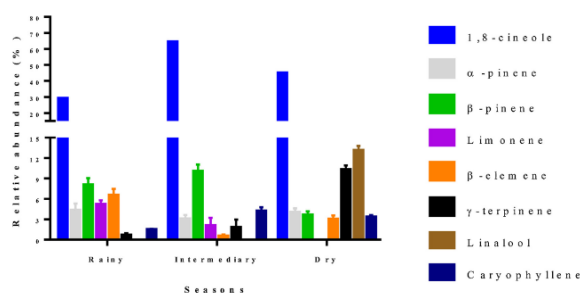
87 GC-MS is a well-studied and standardized technique. In all GC-MS studies, the electron impact
88 ionization was 70 eV. The most common mass analyzer was the single quadrupole, and the mass
89 range was approximately between 35 and 500 m/z. The mass spectra are compared with spectra
90 libraries and specialized books. For qualitative analysis and relative quantification, most studies
91 used the linear retention index with Van Den Dool and Kratz equation, series of n-alkenes C8-
92 C38, along with NIST and FFNSC libraries ^{6 7 8 9}. While for quantitative analysis, one study
93 prepared an external calibration. ¹⁰ Herein, some details about the applications of this technique
94 are presented.

95 GC-MS single quadrupole has been of particular interest to study essential oils. Samples from
96 *Diospyros guianensis*, *Carapa guianensis* and *Aspidosperma nitidum* showed larvicidal activity
97 ¹¹. In order to determine the bioactive compounds, GC-MS analysis has been helpful in the
98 identification of some constituents, for example, fatty acids, limonoids, and plumbagin. A possible
99 synergic effect of some of these compounds was also suggested. For some compounds, the
100 structure elucidation additionally involved NMR and LC-MS.

101 The seasonal variation of the chemical composition of some essential oils has been studied. The
102 *Mesosphaerum suaveolens* (L.) Kuntze, an endemic plant from the Brazilian Amazon, has a
103 different composition of essential oils during the rainy, intermediate, and dry seasons, as shown in
104 *Figure 1*. ⁶ The dry season showed a greater linalool presence than other seasons. Contrary to this
105 finding, Pimentel et al. ¹⁰ found a greater concentration of linalool in the wet season for another
106 plant, *Aniba rosaeodora* Ducke. This suggests that essential oils are not directly influenced by
107 environmental factors but intrinsic ones. Pimentel et al. ¹⁰ also found greater effectiveness against
108 phytopathogens for those essential oils that were extracted during the wet season. The study also
109 suggested linalool as the main active compound. In a similar study, the larvicidal activity of
110 essential oils from *E. uniflora*, *L. camara*, *O. basilicum*, *P. neochilus* against *A. aegypti* showed
111 greater effectiveness in the dry season ⁷.

112 Geographical and environmental factors could also influence the chemical profile of the plants.
113 Plant samples that were collected at different locations differed in their essential oils composition
114 ⁸. Seasons can also modify the overall extraction yield and composition. Lower volatile
115 compounds can be explained by a higher sun radiation exposure⁶. An examination of chemical

116 profile against these and other factors could help to determine the best conditions for material
117 collection depending on the compounds of interest.



118
119 **Figure 1** Essential oil composition variation over the seasons for *Mesosphaerum suaveolens*.
120 Figure from Luz et al. ⁶

121 The shell of *Carapa guianensis* Aubl., a plant that could be a potential eco-friendly larvicide,
122 showed a possible synergic effect of fatty acids and limonoids. A mixture of essential oil extracts
123 has been used to study the combined effect on bioactivity ¹¹.

124 Potential natural products against helminth infections have also been reported in the context of
125 growing resistance to common drugs. For example, a study of anthelmintic activity of the leaves
126 and fine stems of *Hyptis dilatata* and *Mesosphaerum suaveolens* showed that the oils, containing
127 terpenes, can have a synergistic action as nematocidal ⁸. The effect of monoterpenes has been
128 attributed to their interference with receptors or enzymes ⁸. However, the exact mechanism of
129 terpenes in biological systems is not yet well understood.

130 Some mosses have been traditionally used as medicinal plants ¹². GC-MS has helped to examine
131 their chemical composition. For the study of *Neckeropsis undulata* (Hedw.) Reichardt, distillation-
132 extraction, GC, and GC-MS single quadrupole were applied⁹. Some compounds were 1-octen-3-
133 ol, α -muurolol, naphthalene, and n-hexanal. The relative quantitation of each compound was
134 calculated by peak normalization in GC coupled to a flame ionization detector (FID) ⁹. In a similar
135 study for *Calymperes palisotii* Schwaegr, 13 compounds were identified and relatively quantified
136 by GC/MS single quadrupole. 3-methyl-2-pentanone, phenylacetaldehyde, and E-nerolidol were
137 the major constituents. E-nerolidol and furfural were first reported for mosses¹³. Epizonarene, α -
138 selinene, β -selinene have been also identified as constituents of mosses oil extracts ¹⁴.

139 The smokes of oleoresin have been attributed positive effects for headaches. The smoke from
140 *Burseraceae pitch (breu)* consisted mainly of terpenoids that were transferred to the smoke by
141 volatilization. GC-MS single quadrupole identified at least 32 compounds¹⁵.

142 New compounds are usually reported for the first time in some studies, for example, new
143 compounds in the family *Verbenaceae*¹⁶, and secondary metabolites for *E. amazonica* and *M.*
144 *dubia*¹⁷. At the same time, some authors suggest that the presence of specific molecules could be
145 attributed to other organisms¹³.

146 **LC-MS Orbitrap**

147 Unlike GC-MS, mainly limited to studying small, thermostable, and volatile compounds, liquid
148 chromatography-mass spectrometry (LC-MS) opened a wide range of discovery possibilities.
149 Non-volatile chemical compositions have not been explored yet for some medicinal plants as these
150 molecules may require specific sample preparations, derivatization or cannot be determined by
151 GC-MS. For this analysis, some of the samples were leaves, stems, bark, and seeds. The most
152 common ion source interphase between LC and the mass spectrometer was electrospray ionization
153 (ESI).

154 Some studies used the Orbitrap mass analyzer. An extensive analysis of the potential antimicrobial
155 activity of 59 plant species from 33 families was carried out. 12 plants showed antibacterial
156 activity. The study also isolated and identified three secondary metabolites for the first time. The
157 analytical methods were chromatography, NMR, and high-resolution mass spectrometry (HRMS).
158 An MS analysis was done with ESI in positive and negative mode with Orbitrap in the mass range
159 of 100 to 850 Da. Alkaloid and triterpenic compounds were identified¹⁷. In another study, a hybrid
160 mass analyzer linear trap quadrupole (LTQ) - Orbitrap was used to identify flavonoids in *Lippia*
161 *origanoides* (Verbenaceae), a plant known for its medicinal and food applications. The ESI source
162 was in positive and negative mode. In this case, the resolution was 30000, and the mass ranged
163 from 140 to 1500 m/z¹⁶. For fragmentation, a data dependent scan was set with a collision energy
164 of 35 eV and precursor width of 2.0 m/z¹⁶.

165 *Ampelozizyphus amazonicus Ducke* is a plant with medicinal attributes used in the Amazon region.
166 In a study, an extract was analyzed by HPLC-HRMS. In this case, the ESI source was in negative
167 mode. The Orbitrap allowed a resolution of 60000 for the full mass and 7500 for the data-

168 dependent acquisition. The collision energy for the collision-induced dissociation (CID) was 35
169 eV, and the width for the precursor ion was 2.0 m/z. For identification, the fragmentation patterns
170 were relevant to propose several saponin chemical structures ¹⁸.

171 **LC-MS TOF**

172 A few studies included time-of-flight (TOF) analyzers. Spilanthol content and
173 bactericide/antibiofilm activity have been determined for the *Acmella oleracea*, a plant with anti-
174 cariogenic properties. HPLC-MS/MS with a hybrid Q-TOF (quadrupole-TOF) mass analyzer was
175 used to quantify the concentration of spilanthol in the leaves. The ESI operated in positive mode
176 and the range was from 50 to 1000 m/z ¹⁹. In another study of *Carapa guianensis*, a plant with
177 potential eco-friendly larvicidal activity, HPLC-MS was used with ESI and Q-TOF to analyze the
178 seed shells ¹¹.

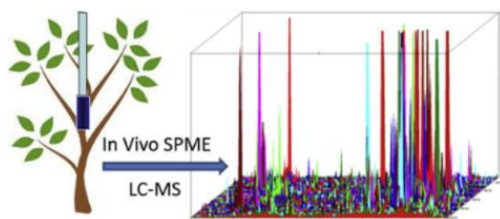
179 **MALDI TOF**

180 The TOF analyzer is well suited to the pulse nature of laser desorption of matrix-assisted laser
181 desorption/ionization (MALDI) and could represent an opportunity to explore large, unstable, and
182 polymeric biomolecules by direct infusion. Research papers using MALDI-TOF are even less
183 common. MALDI – TOF in positive mode has been used to determine the molecular weight of a
184 phenolic compound (761.2 Da) with antioxidant activity from epicarp samples of *Garcinia*
185 *brasiliensis*, a plant with attributed anti-inflammatory, antioxidant and antitumor properties ²⁰.

186 **4. Alternative approaches**

187 **In-vivo SPME and LC-MS QqQ**

188 An in-vivo solid phase microextraction (SPME) sampling technique has been documented. Twenty
189 Amazonian plant species were sampled in vivo using SPME probes. Probes are inserted under the
190 plant's bark, left for a contact time, then transferred to plastic tubes under vacuum. Samples are
191 extracted from the probes with ethanol solution, evaporated, and reconstituted before the analysis.
192 With this approach, the damage to the plant is minimized, the sampling is faster than the standard
193 preparation procedures, and it could be suitable for sampling covering large remote areas. For the
194 LC-MS analysis, this study used ESI along a triple quadrupole (QqQ) mass analyzer for the range
195 150 - 600 m/z. ²¹



196
197 Figure 2 Graphical abstract for in-vivo sampling with SPME probe. Image from Musteata et al.²¹

198 **Off-line counter-current chromatography**

199 Counter-current chromatography (CCC) has been reported to improve the identification of
200 constituents in complex samples as a purification step prior to mass spectrometry analysis. In a
201 study of the phenolic profile of *Lippia organoides*, counter-current chromatography was used as
202 a preparatory step for fractionation of a sample extract. This approach increased the number of
203 identified compounds¹⁶. CCC has also been used to fractionate *Garcinia brasiliensis* epicarp
204 extract samples²⁰.

205 **5. Data processing**

206 MS spectra is compared to chemo-taxonomic data available in literature and databases. Some
207 studies used the software Xcalibur, and a common mass spectra library was NIST. Open-source
208 processing software, e.g. MZMine, or collaborative mass spectra annotation, e.g. Global Natural
209 Products Social Molecular Networking (GNPS), seem to be not common in these studies.
210 Multivariate analysis and a machine learning algorithm has been applied. Partial least squares -
211 discriminant analysis (PLS-DA) and random forest analysis helped to differentiate between two
212 types of oleoresins and find a potential chemical marker based on mass spectrometry data²².

213 **6. Conclusion and future perspectives**

214 Mass spectrometry experiments offer a variety of possibilities for bioprospecting. This brief review
215 outlined some of the most common mass spectrometry techniques and their applications in
216 bioprospecting plants in the Amazon region. It includes an overview of GC-MS, LC-MS, Orbitrap,
217 TOF, and MALDI, and describes the typical samples, analytes, technique settings, and data
218 processing. This review is limited to the revised articles here and the way in which the articles
219 were collected, mainly via the search query. GC-MS single quadrupole was found to be the most
220 common technique. This could be explained because of the intended application to identify or

221 quantify small molecules e.g., essential oils, and the greater popularity of this instrument. The
222 main challenge remains in expanding the analytical capabilities offered by MS to analyze a broader
223 spectrum of natural products. In this regard, high-resolution mass spectrometry, ambient mass
224 spectrometry, mass spectrometry imaging and other ionization modes could lead to a better
225 understanding of the complex chemical configuration of plants in the Amazon region and their
226 potential applications.

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